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Application Number	09/662,006			
Filing Date	September 14, 2000			
First Named Inventor	PATRICK K. SULLIVAN			
Art Unit	3736			
Examiner Name	MALLARI, PATRICIA C			
Attorney Docket Number	HOANA-65047			

							
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П Арр	licant/Inventor.						
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)							
		SIGNATUR	E of Applicant or	Assignee	of Record	.	
Name	PATRICK K	. SULLIVAN,	PRESIDENT -	CEO	·		
Signature							
Date	SEPTEMBER	22 , 200)3	Telephor	ne 808-5	31-3017	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.							
*Total		s are submitted.				_	

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b) SULLIVAN, PATRICK K. et al. Applicant/Patent Owner: Application No./Patent No.: 09/662,006 _ Filed/Issue Date: ___ SEPTEMBER 14, 2000 Entitled: PASSIVE PHYSIOLOGICAL MONITORING (P2M) SYSTEM HOANA MEDICAL, INC. CORPORATION (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, units) states that it is: 1. the assignee of the entire right, title, and interest; or TECHNOLOGY CENTER R3700 2.

an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is in the patent application/patent identified above by virtue of either:] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ______, Frame _____, or for which a copy thereof is attached. ΩR B. [X] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: INVENTORS OCEANIT LABORATORIES, INC. 1. From: -To The document was recorded in the United States Patent and Trademark Office at Reel 011290, Frame 0603, or for which a copy thereof is a _____, or for which a copy thereof is attached. 2. From: OCEANIT LABORATORIES, INC. TO: HOANA MEDICAL, INC. The document was recorded in the United States Patent and Trademark Office at filed concurrently _____, Frame _____, or for which a copy thereof is attached. Reel herewith. To: The document was recorded in the United States Patent and Trademark Office at _____, Frame ____ ___, or for which a copy thereof is attached. [] Additional documents in the chain of title are listed on a supplemental sheet. 区文 Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. SEPTEMBER 22, 2003 PATRICK K. SULLIVAN Date voea or printed name 808-531-3017 Telephone number Stonature PRESIDENT - CEO

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Title





UNITED ARTMENT OF COMMERCE Patent 11.

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FEBRUARY 06, 2001

JAMES C. WRAY 1493 CHAIN BRIDGE ROAD SUITE 300 MCLEAN, VA 22101 RECEIVED OCT 1 7 2003

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UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/20/2000

REEL/FRAME: 011290/0603

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SULLIVAN, PATRICK K.

DOC DATE: 09/20/2000

ASSIGNOR:

CHEUNG, KEN C.K.

DOC DATE: 09/20/2000

ASSIGNOR:

SULLIVAN, CHRISTOPHER J.

DOC DATE: 09/20/2000

ASSIGNOR:

PERNAMBUCO-WISE, PAUL

DOC DATE: 09/20/2000

ASSIGNEE:

OCEANIT LABORATORIES, INC. 1001 BISHOP STREET PACIFIC TOWER, SUITE 2970 HONOLULU, HAWAII 96813

SERIAL NUMBER: 09662006

PATENT NUMBER:

FILING DATE: 09/14/2000

ISSUE DATE:

WHEREAS, PATRICK K. SULLIVAN, KEN C. A. CHEUNG, CHRISTOPHER J. SULLIVAN and PAUL PERNAMBUCO-WISE, hereinafter referred to as the ASSIGNORS, have jointly invented certain improvements relating to PASSIVE PHYSIOLOGICAL MONITORING (P2M) SYSTEM, for which they jointly executed an application for Letters Patent which was filed in the United States Patent Office on September 14, 2000, Serial No. 09/662,006;

AND WHEREAS, OCEANIT LABORATORIES, INC., a Hawaii corporation, located and doing business at 1001 Bishop Street, Pacific Tower, Suite 2970, Honolulu, Hawaii 96813-2833, hereinafter referred to as the ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, and prolongations thereof;

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNORS hereby assign, sell, and transfer to said ASSIGNEE, its assigns and legal representatives, the entire and exclusive right, title, and interest in and to said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, and prolongations thereof, said ASSIGNEE and its assigns and legal representatives to have, hold, exercise, and enjoy the said invention and application, including any and all divisions and continuations thereof, and any and all Letters Patent which may be granted therefor, including any and all renewals, reissues, and prolongations thereof, with all the rights, powers, privileges, and advantages in anywise arising from or appertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and its assigns and legal representatives, in as ample and beneficial a manner to all intents and purposes as said ASSIGNORS might or could have held and enjoyed the same, if this assignment had not been made;

AND said ASSIGNORS hereby agree to execute all papers that may be necessary to file applications in the United States and foreign countries for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing Letters Patent thereon;

AND said ASSIGNORS authorize and request the Commissioner of Patents to issue Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, its assigns and legal representatives, in accordance herewith.

IN TESTIMONY WHEREOF, this assignment is executed by said ASSIGNORS the day and year set forth below.

Executed at Howall, Hawall (Town & State/Country) On (date) 9-20-2000	Patrick K. Sullivan
Executed at HONO ULU, HAWAII (Town & State/Country) On (date) 9-20-2000	Ken C.K. Cheung
Executed at HONOLULU, HAWAII (Town & State/Country) On (date) 7-20-2000	Christopher J. Sullivan
Executed at HONOLULU, HAVAII (Town & State/Country) On (date) 1-20-2000	Paul Pernambuco-Wise





ASSIGNMENT

This Assignment, is made by Oceanit Laboratories, Inc., a Hawaii corporation, Assignor, having a place of business at 1001 Bishop Street, Pacific Tower, Suite 2970, Honolulu, Hawaii 96813-2833, to Hoana Medical, Inc. (previously known as Hoana Technologies, Inc.), a Hawaii corporation, Assignee, having a place of business at 1001 Bishop Street, Pacific Tower, Suite 2828, Honolulu, Hawaii 96813-2833.

WHEREAS, Assignor, by virtue of an assignment from the inventors, acquired the entire right, title and interest in and to an invention entitled PASSIVE PHYSIOLOGICAL MONITORING (P2M) SYSTEM, for which an application for United States Letters Patent was filed on September 14, 2000, as Serial No. 09/662,006, and

WHEREAS, Assignor by virtue of a subsequent agreement with Assignee, agreed to assign and did assign to Assignee all of Assignor's right, title and interest in and to said invention and application, and any and all Letters Patent that may be granted for said invention in the United States and throughout the world; and

WHEREAS, Assignee is desirous of confirming said assignment from Assignor by formal recordable instrument;

NOW, THEREFORE, BE IT KNOWN, that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold, assigned and transferred and does hereby sell, assign and transfer to Assignee the entire right, title and interest in and to said invention and application, and any and all Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications





any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, it and its legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that it has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed division, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date written herein below.

OCEANIT LABORATORIES, INC.

Date: September 22, 2003

Patrick K. Sullivan

President

21561.1

STATE OF HAWAII)	
COUNTY OF HONOLULU)	SS.

On <u>September 74263</u>, before me, <u>Nawley wasari</u>, a Notary Public in and for said County and State, personally appeared Patrick K. Sullivan, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature of Notary Public

JIEST Judicine District, State of Hawaii

My Commission acpoins: 3124107

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OUT TRADE	<u> </u>		Application Number	09/662,006 September 14, 2000		
	FORM (to be used for all correspondence af		First Named Inventor Art Unit	Sullivan, Patrick K.		
	Total Number of Pages in This Submission	on 8	Examiner Name Attorney Docket Number	HOANA-65047		
		ENCLOS	URES (check all that apply			
	Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s)	Petition Provision Power of Change	g-related Papers to Convert a nal Application f Attorney, Revocation of Correspondence	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please		
	Express Abandonment Request Information Disclosure Statement	Request	for Refund	Revocation of Power of Attorney and Appointment of New Power of Attorney; Statement Under 37 CFR 3.73(b) Postcard		
	Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks		OCT 1 7 2003 CHNOLOGY CENTER R3700		
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
:	Firm or Individual name FULWIDER PATTON LEE & UTECHT, LLP					
:	Signature October ,2003	Darl.	<u> </u>			
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